

Appendix 1: UOW Research Entity Review Processes

Entity review processes	Institute		Centre		Cluster	
	Mid-point	5-yearly	Mid-point	5-yearly	Mid-point	5-yearly
Review type	Internal	External	Internal	Internal	Internal	Internal
Audit type	Internal	External	N/A	Internal (if required)	N/A	N/A
Review panel selected by	Host faculty ED in consultation with DVC-RSF	DVC-RSF and Host faculty ED jointly	Host faculty ADR in consultation with ED	Host faculty ED	Host faculty ADR	Host faculty ED
Review panel membership	Internal from host and other relevant faculties. May also include RSF Division and/or external members.	May be either selected external members or outsourced as deemed appropriate.	Internal from host faculties. May also include other relevant faculty members.	Internal from host and other relevant faculties. May also include RSF Division and/or external members.	Internal from host and other relevant faculties.	Internal from host and other relevant faculties.
Potential review outcomes	<ol style="list-style-type: none"> Commend current operations and progress, no changes needed Recommend areas for improvement or enhancement to be achieved prior to the full five-yearly review (may include renegotiating KPIs and/or funding) Recommend to reclassify to UOW Research Centre, or disestablish (only in exceptional situations). 	<ol style="list-style-type: none"> Endorse at Institute level for a further 5-year term Recommend improvements to be made in an agreed timeframe, then endorse for a further 5-year term Recommend reclassification to UOW Research Centre or UOW Research Cluster Recommend to disestablish 	<ol style="list-style-type: none"> Commend current operations and progress, no changes needed Recommend areas for improvement or enhancement to be achieved prior to the full five-yearly review (may include renegotiating KPIs and/or funding) Recommend to reclassify to UOW Research Cluster, or disestablish (only in exceptional situations). 	<ol style="list-style-type: none"> Recommend reclassification to UOW Research Institute status for an initial 5-year term Endorse at Centre level for a further 5-year term Recommend improvements to be made in an agreed timeframe, then endorse Centre for a further 5-year term Recommend reclassification to UOW Research Cluster for an initial 5-year term Recommend to disestablish 	<ol style="list-style-type: none"> Commend current operations and progress, no changes needed Recommend areas for improvement or enhancement to be achieved prior to the full five-yearly review (may include renegotiating KPIs) Recommend to disestablish (only in exceptional situations). 	<ol style="list-style-type: none"> Recommend reclassification to UOW Research Centre status for an initial 5-year term Endorse at Cluster level for a further 5-year term Recommend improvements to be made in an agreed timeframe, then endorse Cluster for a further 5-year term Recommend to disestablish
Endorser of recommendations	DVC-RSF	DVC-RSF	Host faculty ED	DVC-RSF	Host faculty ADR	Host faculty ED